

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: **LEHMAN BROTHERS HOLDINGS INC.,**

Case No. **08-13555**

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

**Liquidity Solutions, Inc.**

Name of Transferee

**Newton, Roger and Coco JTWROS**

Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim #: **15246**

Amount of Claim: **\$392,320.50**

Date Claim Filed: **09/17/2009**

**Liquidity Solutions, Inc.  
One University Plaza, Suite 312  
Hackensack, NJ 07601**

Phone: **(201) 968-0001**

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: **/s/Jeff Caress**

Transferee/Transferee's Agent

**Date: 11/26/2014**

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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In re: **LEHMAN BROTHERS HOLDINGS INC.,**

Case No. 08-13555

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

Claim No. **15246** was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on **11/26/2014**.

**Liquidity Solutions, Inc.**

Name of Alleged Transferee

Address of Alleged Transferee:

**One University Plaza, Suite 312  
Hackensack, NJ 07601**

**Newton, Roger and Coco JTWROS**

Name of Transferor

Address of Transferor:

**3672 Prospect Rd  
Ann Arbor, MI 48105-9534**

**~~DEADLINE TO OBJECT TO TRANSFER~~**

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

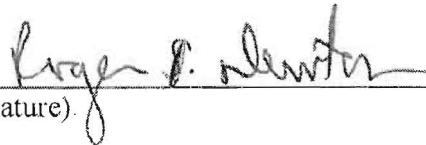
**TRANSFER NOTICE**


Roger Newton and Coco Newton, JTWROS ("Assignor"), transfers and assigns unto Liquidity Solutions, Inc. with an address at One University Plaza, Suite 312, Hackensack, New Jersey 07601, its successors and assigns ("Assignee"), pursuant to the terms of an ASSIGNMENT OF CLAIM between Assignor and Assignee (the "Agreement"), all of Assignor's right, title and interest in, to and under the Claim #15246 of Assignor as set forth in the Agreement against Lehman Brothers Holdings Inc. (the "Debtor"), in the aggregate amount of \$392,320.50 pending against Debtor in the United States Bankruptcy Court, Southern District of New York, jointly administered as Case No. 08-13555.

IN WITNESS WHEREOF, Assignor has signed below as of the 26<sup>th</sup> day of NOV, 2014.


Roger Newton, JTWROS

Liquidity Solutions, Inc.

By:   
(Signature)  
ROGER NEWTON  
(Print Name of Witness)

By:   
(Signature)  
Jeff Caress  
(Print Name and Title)

Coco Newton, JTWROS

By:   
(Signature)  
COCO NEWTON  
(Print Name of Witness)

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SOUTHERN DISTRICT OF NEW YORK

In re: **LEHMAN BROTHERS HOLDINGS INC.,**

Case No. **08-13555**

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

**Liquidity Solutions, Inc.**

Name of Transferee

**Newton, Roger and Coco JTWROS**

Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim #: **15243**

Amount of Claim: **\$293,077.00**

Date Claim Filed: **09/17/2009**

**Liquidity Solutions, Inc.  
One University Plaza, Suite 312  
Hackensack, NJ 07601**

Phone: **(201) 968-0001**

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: **/s/Jeff Caress**

Transferee/Transferee's Agent

**Date: 11/26/2014**

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: **LEHMAN BROTHERS HOLDINGS INC.,**

Case No. 08-13555

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

Claim No. **15243** was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on **11/26/2014**.

**Liquidity Solutions, Inc.**

Name of Alleged Transferee

Address of Alleged Transferee:

**One University Plaza, Suite 312  
Hackensack, NJ 07601**

**Newton, Roger and Coco JTWROS**

Name of Transferor

Address of Transferor:

**3672 Prospect Road  
Ann Arbor, MI 48105**

**~~DEADLINE TO OBJECT TO TRANSFER~~**

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

**TRANSFER NOTICE**

Roger Newton and Coco Newton, JTWROS ("Assignor"), transfers and assigns unto Liquidity Solutions, Inc. with an address at One University Plaza, Suite 312, Hackensack, New Jersey 07601, its successors and assigns ("Assignee"), pursuant to the terms of an ASSIGNMENT OF CLAIM between Assignor and Assignee (the "Agreement"), all of Assignor's right, title and interest in, to and under the Claim #15243 of Assignor as set forth in the Agreement against Lehman Brothers Holdings Inc. (the "Debtor"), in the aggregate amount of \$293,077.00 pending against Debtor in the United States Bankruptcy Court, Southern District of New York, jointly administered as Case No. 08-13555.

IN WITNESS WHEREOF, Assignor has signed below as of the 26<sup>th</sup> day of NOV, 2014.

Roger Newton, JTWROS

Liquidity Solutions, Inc.

By: Roger Newton  
(Signature)  
ROGER NEWTON  
(Print Name of Witness)

By: Jeff Caress  
(Signature)  
Jeff Caress  
(Print Name and Title)

Coco Newton, JTWROS

By: Coco Newton  
(Signature)  
COCO NEWTON  
(Print Name of Witness)